



Serial No. 09/942,886

PATENT
PU010164

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : MICHAEL ANTHONY PUGEL
Serial No. : 09/942,886
Filed : August 30, 2001
For : METHOD, APPARATUS AND DATA STRUCTURE
ENABLING MULTIPLE CHANNEL DATA STREAM
TRANSMISSION
Art Unit : 2661

2661
#3
SI
02-10-04

INFORMATION DISCLOSURE STATEMENT

- ☒ [X] 1 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]
☐ [] 2 Certification Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
☐ [] 3 Fee Payment Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
☐ [] 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)
[before issue fee payment]

Hon. Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ [X] 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ [X] 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
☐ [] 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
☐ [] 8 The required certification made in item 11 below; or
☐ [] 9 The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
☐ [] 10 37 CFR § 1.97(d): [before issue fee payment]; and
(a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g., "Allowed Files, Batch ___, Date of Allowance _____"), and
(b) The required Certification is stated in item 11 below.

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☒ 11 Certification

☒ 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

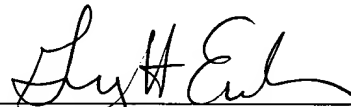
☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

☐ 14 The items of information contained in this submittal were cited in a communication from the International Searching Authority in a counterpart PCT (International) application. It is believed that no fees are due in association with this submittal in accordance with the above Statement. However, should it be determined that fees are due, please charge the applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0832. An original and one (1) copy of this document is enclosed.

Respectfully Submitted,

MICHAEL ANTHONY PUGEL

BY:



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Patent Operations
P. O. Box 5312
Princeton, New Jersey 08543-5312

Enclosure

February 2, 2004

Certificate Of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in a postage-paid envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

Date:

February 2, 2004

Signature



Patricia M. Fedorowycz

Sheet 1 of 1U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97**

(Use several sheets if necessary)

ATTY. DOCKET NO.
PU010164SERIAL NO.
**09/
942,886**APPLICANT
Michael Anthony PugelFILING DATE
August 30, 2001GROUP
2661

U.S. PATENT DOCUMENTS

EXAMINE INITIAL		DOCUMENT NUMBER	ISSUE DATE	APPLICANT/PATENTEE	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION Yes No	
	AL	WO 01/47281 A2	06/28/01	PCT	H04N	7/26		
	AM	WO 99/37048	07/22/99	PCT***	H04J H04L H04K H04N	3/24 9/18, 12/56; 1/00 7/10, 7/12, 7/52		
	AN			*** EPO Search Report dated 11/04/03 attached				
	AO							
	AP							
	AQ							

OTHER INFORMATION (Including Author, Title, Pub.Date, Pertinent Pages, Country, Etc.)

	AR	
	AS	
	AT	

EXAMINER

DATE CONSIDERED

SUBMITTED BY:

REG.NO.:

DATE:

GUY H. ERIKSEN**41,736****February 2, 2004**